MAR 0 5 2002 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

atent Application of : Initial Patent Examination

Division

COPY OF PAPERS ORIGINALLY FILED

Cachin et al.

Box Missing Parts

Serial No.: 10/045,907

: Date: February 19, 2002

Filed: January 11, 2002

: Confirmation No.: 1368

For: Agreement and Atomic Broadcast in Asynchronous Networks

To: Commissioner for Patents

. Initial Patent Examination Division

Box Missing Parts

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

- 1. Acknowledgment Post Card;
- 2. Filing of Missing Parts of Application Under 37 CFR 1.63 (in duplicate);
- Declaration and Power of Attorney Form;
- 4. Notice to File Missing Parts of Application (return copy); and
- 5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Initial Patent Examination Division Box Missing Parts Washington, DC 20231

on <u>February 19, 2002</u>

Margaret McCabe

(Print name of person certifying)

(Signature of person certifying

CH920000062US1



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RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63

FILING DECLARATION AND POWER OF ATTORNEY FILING ASSIGNMENT

To: Commissioner for Patents

Initial Patent Examination Division

Box Missing Parts

Washington, D.C. 20231

Sir:

On January 11, 2002, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the four inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed February 8, 2002, is also attached as per your instructions.

Respectfully submitted,

Louis P. Herzberg

Reg. No. 41,500

Tel. (914) 945-2885

IBM Thomas J. Watson Research Center Intellectual Property Law Department P.O. Box 218
Yorktown Heights, N.Y. 10598

Attorney Docket: CH920000062US1

Serial Number: 10/045,907





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBE

INTELLECTUAL PROPERTY LAW DEPT.

YORKTOWN HEIGHTS, NY 10598

NG/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/045,907

IBM CORPORATION

P.O. BOX 218

01/11/2002

Christian Cachin

CH920000062US1

CONFIRMATION NO. 1368

FORMALITIES LETTER OC000000007450535*

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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